



THOUGHT LEADERSHIP

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Ensuring secure and compliant depositary services across asset classes

By Tomasz Kaplon

The regulatory landscape for depositary services is a labyrinth, constantly evolving and varying across jurisdictions. This presents significant challenges for institutions managing a diverse range of asset classes.

At Q Securities, we are adept at navigating this complexity and are committed to providing our clients with secure, compliant, and tailored solutions.

A dynamic approach

Our approach rests on two pillars: compliance and proactive risk management. "Our dedicated compliance teams act as guardians, continuously monitoring regulatory shifts across all jurisdictions. This ensures our depositary services remain aligned with the latest standards, regardless of the asset class, whether real estate, crypto, private equity, or traditional securities," says Tomasz Kaplon, Head of Depositary International Operation Department at Q Securities.

We understand that a "cookie-cutter" solution has no place in today's dynamic regulatory environment. "Our experienced teams take the time to understand your specific needs and design depositary solutions that are meticulously tailored to the unique regulatory requirements of each asset class within your portfolio," explains Tomasz Kaplon.

The ever-changing regulatory landscape inherently introduces risks. To manage these proactively, we have implemented comprehensive frameworks that identify, assess, and mitigate potential challenges associated with regulatory changes. This ensures a secure and compliant environment where assets are protected.

Proactive risk management

Depositary compliance duty towards sophisticated asset types involves anticipating potential risks and taking pre-emptive measures to mitigate them.

Collaborating with external experts, such as our legal partner @MMW Law, auditors, and industry specialists, helps us stay informed about emerging risks and best practices in

managing sophisticated assets. Leveraging their expertise can provide valuable insights and help strengthen risk management practices. "In the dynamic environment, it is crucial to proactively research new ways to stay on top of things and gain competitive advantage," believes Tomasz Kaplon.

Technology as an ally

We believe technology is a powerful ally. "We leverage cutting-edge technology to streamline our depositary services and enhance accuracy. Our systems are integrated with automated compliance checks and reporting mechanisms, reducing the likelihood of errors and ensuring efficient navigation of regulatory complexities," explains Tomasz Kaplon.

At the same time, transparent communication is a cornerstone of our client relationships. "We understand the importance of keeping clients informed. We provide regular updates on regulatory changes, their potential impacts, and how we adapt our services to maintain compliance," says Tomasz Kaplon.

At the same time, we actively engage with regulatory bodies and industry forums. "By contributing to developing practical and effective regulations for the diverse asset classes we manage, we play a role in shaping the industry's future," believes Tomasz Kaplon.

We pride ourselves on combining a robust commitment to compliance, customized solutions, proactive risk management, technological innovation, transparent communication, and active collaboration. We navigate the intricate regulatory landscape with finesse, ensuring the security, compliance, and tailored depositary services your portfolio deserves across a broad spectrum of asset classes. "And that is precisely why we strongly believe we're well-positioned to be a trusted partner for asset managers of all sorts and sizes," concludes Tomasz Kaplon.

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